

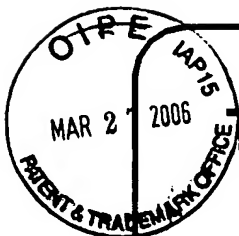


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PTO/SB/21 (09-04)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/800,362		
	Filing Date	March 12, 2004	
	First Named Inventor	William M. Pardridge	
	Group Art Unit	1635	
	Examiner Name	Jon Benjamin Ashen	
Total Number of Pages in This Submission		Attorney Docket Number	407J-002000US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement under 37 CFR 3.73(b) and receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.
Signature	
Date	March 24, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Juliana Hermes		
Signature		Date	March 24, 2006

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Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on March 24, 2006

By


Juliana Hermes, QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 407J-002000US
Client Reference No.: 2004-148-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William M. Pardridge, et al.

Application No.: 10/800,362

Filed: March 12, 2004

For: DELIVERY OF GENES ENCODING
SHORT HAIRPIN RNA USING
RECEPTORS-SPECIFIC
NANOCONTAINERS



Examiner: Jon Benjamin Ashen

Art Unit: 1635

SUBMISSION OF POWER OF
ATTORNEY AND CORRESPONDENCE
ADDRESS INDICATION FORM

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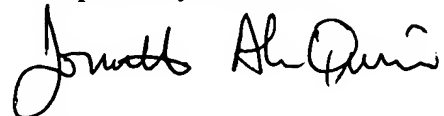
Sir:

Please make of record the following enclosed documents regarding the above-identified application and change the correspondence address as indicated:

- 1) Power of Attorney and Correspondence Address Indication Form; and,
- 2) Statement Under 37 CFR 3.73(b)

QUINE INTELLECTUAL PROPERTY LAW GROUP
P.O. BOX 458, Alameda, CA 94501
Tel: 510 337-7871
Fax: 510 337-7877
PTO Customer No.: 22798
Deposit Account No.: 50-0893

Respectfully submitted,



Jonathan Alan Quine
Reg. No: 41,261

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/800.362
Filing Date	March 12, 2004
First Named Inventor	William M. Pardridge
Title	DELIVERY OF GENES ENCODING SHORT
Art Unit	1635
Examiner Name	Jon Benjamin Ashen
Attorney Docket Number	407J-002000US

I hereby appoint:

☒ Practitioners associated with the Customer Number.

OR

☐ Practitioner(s) named below.
**22798**

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name	Quine Intellectual Property Law Group, P.C.				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
SIGNATURE of Applicant or Assignee of Record

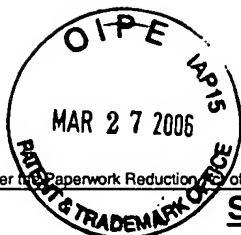
Name	Linda S. Stevenson, Manager, Patent Prosecution		
Signature	<i>Linda S. Stevenson</i>		
Date	2-21-06	Telephone	510-587-6000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.
CERTIFICATE OF MAILING

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Typed or Printed Name	Juliana Hermes	
Signature	<i>Juliana Hermes</i>	Date



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STATEMENT UNDER 37 CFR 3.73(b)

PTO/SB/96 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Applicant: William M. Pardridge, et al.

Application No.: 10/800,362

Filed: March 12, 2004

Entitled: DELIVERY OF GENES ENCODING SHORT HAIRPIN RNA USING
RECEPTORS-SPECIFIC NANOCONTAINERS

The Regents of the University , a
of California

University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 014454, Frame 0131, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
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The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

2/21/06
Date

Linda S. Stevenson
Signature

Linda S. Stevenson
Typed or printed name

Manager, Patent Prosecution
Title